

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))					Docket No. 1477.021	
In Re Application Of: LINDEMANN, Andreas						
Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.	
Unknown	Herewith	Unknown	23405	Unknown	Unknown	
Title: ENCAPSULATED POWER SEMICONDUCTOR ASSEMBLY						
Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450						
37 CFR 1.97(b)						
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.						
37 CFR 1.97(c)						
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:						
<div style="margin-left: 40px;"> <input type="checkbox"/> the statement specified in 37 CFR 1.97(e); </div>						
OR						
<div style="margin-left: 40px;"> <input type="checkbox"/> the fee set forth in 37 CFR 1.17(p). </div>						

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Title: ENCAPSULATED POWER SEMICONDUCTOR ASSEMBLY						
<div style="text-align: center;"> Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p)) </div> <p> <input type="checkbox"/> A check in the amount of _____ is attached. <input type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. _____ as described below. </p> <div style="margin-left: 40px;"> <input type="checkbox"/> Charge the amount of _____ <input type="checkbox"/> Credit any overpayment. <input type="checkbox"/> Charge any additional fee required. </div> <p> <input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached. </p> <p> WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. </p> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p style="text-align: center;">Certificate of Transmission by Facsimile*</p> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fa </div> <div style="border-top: 1px solid black; margin-bottom: 5px;"> _____ (Date) </div> <div style="border-top: 1px solid black; margin-bottom: 5px;"> _____ Signature </div> <div style="border-top: 1px solid black;"> _____ Typed or Printed Name of Person Signing Certificate </div> </div> <div style="width: 45%;"> <p style="text-align: center;">Certificate of Mailing by First Class Mail</p> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on </div> <div style="border-top: 1px solid black; margin-bottom: 5px;"> _____ (Date) </div> <div style="border-top: 1px solid black; margin-bottom: 5px;"> _____ Signature of Person Mailing Correspondence </div> <div style="border-top: 1px solid black;"> _____ Typed or Printed Name of Person Mailing Certificate </div> </div> </div> <p> *This certificate may only be used if paying by deposit account. </p> <div style="display: flex; justify-content: space-between; align-items: flex-end; margin-top: 20px;"> <div style="width: 45%;"> <div style="border-top: 1px solid black; width: 100%;"></div> <p style="text-align: center; font-size: small;">Signature</p> <p> Jeff Rothenberg, Reg. No. 26,429 Heslin Rothenberg Farley & Mesiti P.C. 5 Columbia Circle Albany, NY 12203 Tel: 518-452-5600 Fax: 518-452-5579 E-mail: jr@hrfmlaw.com </p> </div> <div style="width: 45%;"> <p>Dated: October 3, 2005</p> </div> </div> <p style="margin-top: 20px;">CC:</p>						

Docket No. 1477.021

Applicant: LINDEMANN, Andreas

Serial No. Unknown

Group Art Unit: Unknown

Filed: Herewith

Examiner: Unknown

Title: ENCAPSULATED POWER SEMICONDUCTOR ASSEMBLY

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

STATEMENT OF RELEVANCE FOR INFORMATION DISCLOSED BY APPLICANT

Sir:

The following Statement of Relevance is submitted with the accompanying Form PTO-FB-A820.

Document
Designation


Relevance

BA-BB Cited in corresponding German priority application.

BC-BG Cited in accompanying International Search Report.

Full text copies of the art cited, or the pertinent portions thereof, are enclosed. It is respectfully requested that this art be considered by the Examiner in the above-entitled application and made of record therein. The information provided and references enclosed herewith shall not be construed as a representation that a search has been made or that no other art than that identified exists.

Respectfully submitted,


Jeff Rothenberg
Registration No. 26,429

Date 10/3/2005

INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>				ATTY DOCKET NO. 1477.021		APPLICATION NO. 10/552032 Unknown			
				APPLICANT(S) LINDEMANN, Andreas				JCT2 Rec'd PCT/PTC 03 OCT 2005	
				FILING DATE Herewith		GROUP ART UNIT Unknown			

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,679,979	10/21/1997	Weingand	257	723	
	AB	6,031,279	02/29/2000	Lenz	257	686	
	AC	5,198,964	03/30/1993	Ito	361	386	
	AD	5,581,121	12/03/1996	Burnes	257	684	
	AE	5,767,573	06/16/1998	Noda	257	675	

U.S. PATENT APPLICATION PUBLICATIONS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	BA	697 10 885	04/11/2002	DE	H01L	25/07		
	BB	196 35 582	02/19/1998	DE	H01L	25/07		
	BC	196 25 240	04/30/1997	DE	H01L	23/31		
	BD	0 277 546	08/10/1988	EP	H01L	25/04		
	BE	0 594 395	04/27/1994	EP	H01L	23/36		

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>			

EXAMINER	DATE CONSIDERED
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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ATTY DOCKET NO.
1477.021

APPLICATION NO.
107552032
Unknown

IC12 Rec'd PCT/PTC 03 OCT 2005
LINDEMANN, Andreas

FILING
Herewith

GROUP ART
Unknown

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U.S. PATENT APPLICATION PUBLICATIONS

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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	BF	1 255 297	11/06/2002	EP	H01L	23/31		
	BG	WO 03/071601	08/28/2003	PCT	H01L	23/373		

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

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